

**1st DISCOUNT BROKERAGE, INC.**  
**TRADITIONAL FEE SCHEDULE**  
**EFFECTIVE SEPTEMBER 30, 2024**

**BANKING:**

ACH Notice of Correction	\$6	Charged to correct ACH instructions
Paper Check Draft (USD) - Domestic	\$6	Per check request including dividend checks
Paper Check Draft - International	\$10	Per check request including dividend checks
Returned Checks/ACH/Wires & Recalls	\$35	Charged for checks deposited with Apex Clearing, or ACH & Wire items that are rejected for insufficient funds, etc.
Stop Payment of Checks	\$35	Charged for each Stop Payment
Third Party Distribution Notification	\$6	Per notification
Wire Transfers - Domestic	\$30	Charged for each transfer of funds to another domestic financial institution via Federal wire system
Wire Transfers - Foreign	\$75	Charged for each transfer of funds to a foreign financial institution via Federal wire system
Incoming Wire Transfers (Domestic)	\$8	Charged for each transfer of funds from a domestic financial institution via Federal wire system

**Apex Select™ Cash Management Fees:**

Annual Debit Card	\$35	
Annual Checking	\$20	
ATM Withdrawal	\$1	
Stop Payment	\$35	
Returned ACH/Check	\$35	
Checkbook Order	\$15	Book of 50 non-duplicate
Check Copy	\$15	
Sales Draft Copy	\$15	
Collection Processing	\$25	
Domestic Overnight Shipping	\$45	
International Overnight Shipping	\$100	

**FEES:**

144 Restricted Stock Sales	Variable	Charged per issuer for fees assessed by 3rd party agent for processing additional required paperwork (Inquire for quote)
ACAT (Full and Partial)	\$150	Charged for each account that transfers to another firm
Accommodation Transfers T&S	\$235	Charged per certificate for transfers of stock from "street name" to personal certificate registration and delivery
Accommodation Transfers DRS	\$125	Charged per security for transfers of stock from "street name" to book Eligible entry at transfer agent
Accommodation Transfers DRS	\$235	Foreign account charge per certificate for transfers of stock from Eligible - Foreign "street name" to book entry at transfer agent.
Accommodation Transfers - Rush	\$350	Charged per certificate for rush transfers of stock from "street name" to personal certificate registration and delivery
ADR Transactions Fee	Variable	Additional fees from sponsors. Questions: please call 561-515-3200
Advisory Account Administrative Fee	\$150	Charged annually for Advisory Accounts only
Copy of Check	\$15	Charge for each requested copy of a check written from a client's account
Copy of Historic Monthly Statement, Tax	\$6	Charged for each requested copy of a statement, tax document, or Document, or Confirmation confirmation
DTC Delivery	\$25	Per security
DTCC Ineligible Stocks	Variable	Fee quoted by Apex Clearing prior to entering the trade
DWAC		Charged for transfer of stock, usually in conjunction with the exercise of employee stock options
DWAC/DRS Reject	\$125	Per security
Electronic to Physical Certificate	\$900	Per security Conversion
Estate Account Set-Up Fee	\$150	Charged when an Estate Account is originally set-up
Estate Account Maintenance Fee	\$150	Charged yearly for account maintenance
Extension - NYSE	\$25	Charged as a filing fee for late payments on purchases of securities
FINRA Trading Activity Fee	Variable	May be applicable depending on security(ies) traded and will be charged to the account on a monthly basis
Foreign Account Maintenance Fee	\$200	Charged monthly for foreign accounts
Foreign Account Termination Fee	\$200	Charged for closing a foreign account
Foreign Stock Execution Charge	\$75	Charged for each buy or sell transaction
Foreign Free Receives/Delivers	\$35	Per transaction
Foreign Security Transfer Fee	\$50	Per security
Inactive Account Fee	\$200	Charged in July for accounts without any trading activity during the previous 12 months.
IRA Maintenance	\$50	Charged yearly per IRA account for account maintenance processing costs
IRA Termination	\$150	Charged for closing all retirement plans, including beneficiary IRA's and accounts belonging to holders over 70 1/2 years of age. If an account balance is \$150 or less and there has not been any customer-initiated activity during the past 12 months, the remaining balance will be charged as a termination fee and the account will be closed.
IRA Transfer	\$150	Charged for each retirement account transferring to another firm
Legal Transfers - Standard	\$150	Charged per security per issuer for transfers requiring legal papers and stock powers
Limited Partnerships/Private	\$250	Per investment - IRA only Placements
Liquidations to Cover Debit	\$50	Per liquidated security, in addition to other applicable fees
Lost Certificate Replacement	\$250+	3% of certificate value - \$250 minimum
Low Balance Maintenance Fee	\$15	Charged monthly for each account with a total month-end account value of \$150 or less
Margin Sell Out Fee	\$50	Charged per event
Mortgage-Backed Securities	\$75	Per trade Processing Fee
Mutual Fund Processing Fee	\$50	Charged for processing mutual fund transactions
Non-Transferrable Stocks	\$25	Charged per stock per month

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Non-Retirement Account Termination	\$40	Charged for all non-retirement account terminations. If an account balance is \$40 or less and there has not been any customer-initiated activity during the previous 12 months, the remaining balance will be charged as a termination fee and the account will be closed.
NSCC Illiquid Stock Trade	\$250+	Determined by NSCC, charged directly to the client's account, and reflected on the monthly statement - \$250 minimum
Physical Deposit - Domestic	\$600	Per security
Physical Deposit - Foreign	\$600	Per security
Physicals - Foreign Accommodation	\$600	Per security Transfers
Physical Trade Settlement	\$600	Charged for trades when the securities are not DTC eligible, and a physical certificate is being held.
Reorganization Activity - Voluntary	\$50	Charged per issue for reorganizations in which a customer is able to or Post Actionable Domestic elect to participate, such as a tender offer.
Reorganization Activity - Voluntary	\$100	Charged per issue for reorganizations in which a customer is able to or Post Actionable International elect participation, such as a tender offer. Also applied to Survivor/Options/Death Puts
Reorganization Physical Processing Fee	\$125+	Transfer Agent Fees are additional
Restricted Transfers	\$465	Per security
Safekeeping Deposit	\$8	Charged per position deposited into Safekeeping at DTC
Safekeeping Limited Partnerships/	\$25	Charged monthly for IRA only Private Placements
Safekeeping Position	\$6	Charged monthly per position held in Safekeeping at DTC
Safekeeping Withdrawal	\$100	Charged per position withdrawn from Safekeeping at DTC
Short Forced Buy-in Fee	\$25	Per ticket
Stock Loan	Variable	Additional fees from Apex Clearing are charged to the account. Questions: please call 561-515-3200
Stock Loan- Hard to Borrow	Variable	May be applicable depending on security(ies) traded and will be charged to the account
TOD Account Transfer Fee	\$250	Charged to the main TOD Account to transfer assets
Transaction Service Charge	Variable	Processing fee per transaction and is posted on the confirm
Trust Account Maintenance Fee	\$150	Charged yearly for account maintenance
<b>POSTAGE &amp; HANDLING</b>		
All Mailing Notices	\$6	Charged for all account related mailings not separately noted below
Overnight Courier - Domestic	\$50	Charged for use of overnight couriers such as FedEx, DHL, UPS, etc
Overnight Courier - International	\$100	Charged for use of overnight couriers such as FedEx, DHL, UPS, etc
Paper Confirms	\$6	Apex Clearing charge for mailing paper confirms
Paper Statement and/or Tax Documents	\$6	Charged for mailing paper statements and/or tax documents
Returned Mail	\$6	Charged per each returned item, and reflected on the monthly statement
Un-cashed Checks Mailing Notice	\$6	Charged by Apex Clearing for mailing notices to payees of checks that remain un-cashed for 180 days past the issue date, and for each successive month that requires an additional notice
<b>REGULATORY:</b>		
ORF - Option Regulatory Fee	Variable	Charged per security, based on the quantity, and the applicable charge is listed on the confirm.
Section 31 Fee	\$28	Per million dollars. This is a transaction-based fee that is assessed by the SEC, and the applicable charge is listed on the confirm
TAF	Variable	Per share (maximum \$8.30 per trade). This is a transaction-based fee that is assessed on covered securities by FINRA. The fee varies based on the type of covered security, and the applicable charge is listed on the confirm.